



## HIGHER ORDER EXPONENTIALLY ISOMETRIC OPERATORS

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ABSTRACT. For a positive integer  $m$ , a bounded linear operator  $T$  on a Hilbert space is called an exponentially  $m$ -isometric operator if 
$$\sum_{k=0}^m (-1)^{m-k} \binom{m}{k} e^{kT^*} e^{kT} = 0.$$
 We show that for every non-empty compact subset  $K$  of pure imaginary axis, there exists an exponentially  $m$ -isometric operator  $T$  whose spectrum is  $K$ . Moreover, if  $(T_n)_{n \geq 1}$  is a sequence of operators in this class that converges to  $T$  in the strong operator topology, then  $T$  is also an exponentially  $m$ -isometric operator.

### 1. INTRODUCTION

Throughout the paper,  $H$  stands for a Hilbert space and  $B(H)$  denotes the space of all bounded linear operators on  $H$ . For a positive integer  $m$ , an operator  $T \in B(H)$  is called an  $m$ -isometry if it satisfies the operator equation

$$\beta_m(T) := \sum_{k=0}^m (-1)^{m-k} \binom{m}{k} T^{*k} T^k = 0$$

where  $T^*$  denotes the adjoint operator of  $T$ . Since the pioneer work of Agler [?], the study of  $m$ -isometries has become an active area of research

2020 *Mathematics Subject Classification*. Primary 47A62; Secondary 47A16

*Key words and phrases*. Exponentially  $m$ -isometric operator, Skew- $m$ -selfadjoint operator, Exponentially isometric- $m$ -Jordan operator.

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in operator theory. Their applications to differential operator, disconjugacy and Brownian motion have been discussed in [?]. For more investigation on  $m$ -isometric operators one can see [?, ?].

An operator  $T$  is called an exponentially  $m$ -isometry if  $\exp T$  is an  $m$ -isometric operator. Exponentially 1-isometric operators are simply exponentially isometries. The set of all exponentially  $m$ -isometric operators will be denoted by  $E_m$ . In [?] it has been proved that every  $m$ -isometry is an  $(m + 1)$ -isometry and every invertible  $2m$ -isometry is a  $(2m - 1)$ -isometry which implies that  $E_{2m} = E_{2m-1}$ .

Recall that  $T \in B(H)$  is called an  $m$ -selfadjoint operator if

$$\sum_{k=0}^m (-1)^k \binom{m}{k} T^{*k} T^{m-k} = 0$$

and  $T$  is skew- $m$ -selfadjoint if  $iT$  is  $m$ -selfadjoint. These operators have been introduced and studied by Helton [?]. Moreover, for  $m > 1$ , the operator  $T \in B(H)$  is said to be strict exponentially  $m$ -isometry if it is an exponentially  $m$ -isometric operator but not exponentially  $(m - 1)$ -isometry. Similarly, one can define strict  $m$ -isometries and strict  $m$ -selfadjoint operators.

In [?, ?] authors investigate the sum of an  $m$ -isometric or an  $m$ -selfadjoint operator with a nilpotent operator and also the sum or product of two  $m$ -isometries or two  $m$ -selfadjoint operators. As an application of these results, we show that the sum of two commuting operators  $A$  and  $B$  that are, respectively, exponentially  $m$ -isometry and exponentially  $n$ -isometry is exponentially  $(m + n - 1)$ -isometry. Also, we prove that if  $Q$  is a nilpotent operator of order  $l$ ,  $Q^l = 0$  and  $Q^{l-1} \neq 0$ , for some positive integer  $l$ , and  $A$  commutes with  $Q$ , then the sum  $A + Q$  is an exponentially  $(m + 2l - 2)$ -isometric operator. It is known that the class of  $m$ -isometric and  $m$ -selfadjoint operators are stable under the powers [?, ?, ?]. We observe that the class of exponentially  $m$ -isometric operators is not stable under powers.

Also, we show that for each compact subset  $K$  of the pure imaginary line, there is an exponentially  $m$ -isometric operator  $T$  on a separable infinite Hilbert space whose spectrum is  $K$ . After that, we prove that limit of every sequence of exponentially  $m$ -isometric operators with respect to the strong operator topology is also an exponentially  $m$ -isometric operator. Furthermore, we show that every exponentially  $m$ -isometric diagonal, Toeplitz or multiplication operator is skew- $m$ -selfadjoint. Moreover, we characterize normal, idempotent and weighted shift operators which are exponentially  $m$ -isometry.

## 2. MAIN RESULT

The skew- $m$ -selfadjointness condition,  $e^{-sT^*} e^{-sT} = \sum_{j=0}^{m-1} A_j s^j$  for each  $s \in \mathbb{R}$  and some operators  $A_j$ , implies that the class of exponentially  $m$ -isometric operators contains all skew- $m$ -selfadjoint operators. The following lemma

implies that the class of skew- $m$ -selfadjoint operators is a proper subset of  $E_m$ . In the following,  $\langle \cdot, \cdot \rangle$  denotes the inner product on  $H$ . Moreover, for any vectors  $x$  and  $y$  in  $H$ ,  $x \otimes y$  denotes the rank one operator defined by

$$(x \otimes y)(z) = \langle z, y \rangle x.$$

**Lemma 2.1.** *Let  $x, y \in H$ . If  $\langle x, y \rangle = 1$ , then the following statements are equivalent:*

- (a)  $\|x\|\|y\| = 1$ ;
- (b) *there exists a nonzero real number  $\alpha$  such that  $y = \alpha x$ ;*
- (c)  $\langle z, y \rangle \langle x, x \rangle y = \langle z, x \rangle \langle y, y \rangle x$ , for each  $z \in H$ ;
- (d)  $\langle x, z \rangle \langle z, y \rangle \geq 0$ , for each  $z \in H$ ;
- (e)  $x \otimes y$  is selfadjoint.

In the following example note that  $x \otimes y$  is a nonzero idempotent if and only if  $\langle x, y \rangle = 1$ .

**Example 2.2.** Let  $H$  be an infinite-dimensional Hilbert space with an orthonormal basis  $\{e_n\}_{n \in \mathbb{N}}$ . For two distinct integers  $l$  and  $k$  greater than one, let  $x = e_l$  and  $y = e_l + e_k$ . Then by Lemma ??,  $x \otimes y$  is an idempotent which is not selfadjoint. Moreover, let  $A$  be the unilateral weighted shift operator,  $Ae_j = w_j e_{j+1}$ , with weight  $(w_j)_j$  on  $H$  such that  $w_l = w_{l-1} = w_{k-1} = 0$ ,  $\prod_{i=0}^{N-1} w_{i+j} = 0$  for all  $j$  and  $N = \lfloor \frac{m+1}{2} \rfloor$ . Since  $A$  and  $iA$  are unitarily equivalent, Proposition 2.5 of [?] implies that  $A$  is a skew- $m$ -selfadjoint operator. Also, it is easily seen that the operator  $x \otimes y$  commutes with  $A$ . Thus  $A + 2\pi i x \otimes y$  is an exponentially  $m$ -isometric operator that is not skew- $m$ -selfadjoint.

It is known that  $m$ -isometric and  $m$ -selfadjoint operators are stable under powers [?, ?, ?]; meanwhile exponentially  $m$ -isometric operators are not. As an example, the operator  $(iI)^n$  is exponentially isometry for all odd numbers  $n$  but it is not for any even number  $m$ . The sum of the commuting exponentially  $m$ -isometries as follows.

**Theorem 2.3.** *Let  $A, B, Q \in B(H)$  be commuting operators. Suppose that  $A \in E_m$ ,  $B \in E_n$  and  $Q^l = 0$  for some positive integer  $l$ . Then*

- (i) *For each  $k \in \mathbb{Z}$ ,  $kA \in E_m$ .*
- (ii)  *$A + B \in E_{m+n-1}$ . In particular, for every pure imaginary number  $\mu$ ,  $A + \mu I \in E_m$ .*
- (iii)  *$A + Q \in E_{m+2l-2}$ .*

*Moreover,  $A + Q$  is strict exponentially  $(m + 2l - 2)$ -isometry if and only if  $Q^{*l-1} \beta_{m-1}(e^A) Q^{l-1} \neq 0$ . In particular, for the case  $m = 1$ ,  $A + Q$  is strict exponentially  $(2l - 1)$ -isometry if and only if  $Q$  is nilpotent of order  $l$ .*

Now, similar description for  $m$ -isometric operators [?], we will describe exponentially  $m$ -isometric operators with prescribed spectrum.

**Theorem 2.4.** *Let  $H$  be an infinite dimensional separable Hilbert space and  $m > 1$  be an odd number. If  $K$  is a non-empty compact subset of pure imaginary axis, then there exists a strict exponentially  $m$ -isometric operator  $T \in B(H)$  with spectrum  $K$ .*

**Proposition 2.5.** *Let  $T$  be an exponentially  $m$ -isometric operator. If one of the following statements holds, then  $T$  is skew-selfadjoint.*

- (i)  $T$  is a Toeplitz operator.
- (ii)  $T$  is a diagonal operator.
- (iii)  $T = M_\varphi$  is the multiplication operator defined by  $M_\varphi f = \varphi f$  on  $L_2(\mu)$ , for a  $\sigma$ -finite measure  $\mu$  and a bounded Borel function  $\varphi$ , or on the Hardy space  $H^2$  for  $\varphi \in H^\infty$ .

**Proposition 2.6.** *Let  $T$  be an exponentially  $m$ -isometric operator. Then the following statements hold:*

- (i) *If  $T$  is a normal operator then it is exponentially isometry.*
- (ii) *If  $T$  is bounded below then it is invertible. Consequently, if  $T$  is an isometric operator, then it is unitary.*
- (iii) *If  $T$  is an idempotent operator then  $T = 0$ .*

Suppose that  $(T_n)_{n \geq 1}$  is a sequence of operators in  $E_m$ . If  $T_n$  converges to  $T$  then  $T \in E_m$ . Now, we consider the following question: If  $T_n$  converges to  $T$  in the strong operator topology, is  $T \in E_m$ ? We will give positive answer to this question.

**Proposition 2.7.** *If  $(T_n)_{n \geq 1}$  is a sequence of operators in  $E_m$  that converges to  $T$  in the strong operator topology, then  $T \in E_m$ .*

**Corollary 2.8.** *Suppose that  $(T_n)_{n \geq 1}$  is a sequence of  $m$ -selfadjoint operators such that  $T_n \rightarrow T$  in the strong operator topology. Then  $T$  is also an  $m$ -selfadjoint operator.*

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