



## WELL-POSEDNESS OF THE ZKB EQUATION IN THE WEIGHTED SPACES

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ABSTRACT. In this work we consider a generalized dissipative ZK equation. The associated linear part produces both semigroup and group. As the dissipation is directional, we use a regularization method to study the associated initial value problem in Sobolev spaces  $H^s(\mathbb{R}^n)$  and some weighted spaces  $\mathcal{F}_r^{s,p}$ . We also prove an ill-posedness result in the two-dimensional case.

### 1. INTRODUCTION

In this paper, we study of the following evolution equation

$$u_t + (L_\alpha + f(u))_x = 0, \quad t \in \mathbb{R}^+, \quad (1.1)$$

where  $L_\alpha = \Delta u - \alpha u_x$  is the ZK operator with a directional dissipation. Here,  $\alpha \in \mathbb{R}$ ,  $f$  is a differentiable real-valued function on  $\mathbb{R}$  such that  $f(0) = 0$  and  $f'(0) = 0$  and we consider  $u = u(x, y, t)$  such that  $(x, y) \in \mathbb{R} \times \mathbb{R}^{n-1}$ ,  $n \geq 2$ . We also assume that  $f(x) = O(x^{p+1})$ , for  $p \in \mathbb{N}$ . The evolution equation (1.1) is known as the ZKB equation when  $f(u) = u^2/2$ , because of appearing the ZK operator and the Burgers-type dissipation. The ZKB equation (1.1) describes asymptotically the propagations of nonlinear dust acoustic waves in a nonuniform

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magnetized dusty plasma [3, 1]. By neglecting the dissipative term in (1.1), we will get the so-called ZK equation.

$$u_t + (\Delta u + f(u))_x = 0. \quad (1.2)$$

In this work, we are going to study the Cauchy problem associated to (1.1) in Sobolev spaces. Our strategy is to use a regularization by applying more dissipative terms to the equation; in fact, we will consider the following regularized ZKB (rZKB) problem:

$$u_t + (\Delta u + f(u) - \alpha u_x)_x - \beta \Delta_\perp u = 0, \quad (1.3)$$

where  $\beta \in \mathbb{R}^+$  and  $\Delta = \partial_x^2 + \Delta_\perp$ . Next, by using the semigroup properties of (1.3), we endeavor to prove a well-posedness result in  $H^s(\mathbb{R}^2)$  spaces for  $s > 2$  and show our results hold in weak topology as the parameter  $\beta$  tends to zero. In dimension two, we will also show that (1.1) is well-posed in the weighted spaces  $H^s(\mathcal{W})$  (see Definition 2.1) for some suitable weight functions  $\mathcal{W}$ . Regarding on the ill-posedness issue, we are not able to derive a criterion to anticipate the minimum index of local well-posedness due to the directional dissipation; but we establish that the flow-map of equation (1.1) fails to be  $C^2$  in  $H^{s,0}(\mathbb{R}^2)$  for  $s < -\frac{3}{4}$ .

## 2. MAIN RESULTS

Now we summarize our main results skipping several propositions and technical lemmas.

**Definition 2.1.** We denote by  $L^2(\mathcal{W})$  the space of all real-valued functions  $f$  such that  $\|f\|_{L^2(\mathcal{W}dx)}^2 = \int f^2(x)\mathcal{W}(x) dx < \infty$ , where  $H^s = H^s(\mathbb{R}^n)$  is the nonhomogeneous Sobolev space. Especially, for  $\mathcal{W}(x) = 1 + \sum_{i=1}^n x_i^{2r_i}$  and  $r = (r_1, \dots, r_n) \in \mathbb{R}^n$ , we denote  $\mathcal{F}_r^s$  the space of all real-valued measurable functions  $f$  such that  $\|f\|_{\mathcal{F}_r^s} = \|f\|_{H^s} + \|f\|_{L^2(\mathcal{W})} < \infty$ . Similarly for any  $p \geq 1$ , one can define  $\mathcal{F}_r^{s,p} = H^s \cap L^p(\mathcal{W})$ . For  $r \in \mathbb{R}$ , we denote  $\mathcal{F}_r^s$  as  $\mathcal{F}_{r,\dots,r}^s$  and  $H^s(\mathcal{W}) = H^s \cap L^2(\mathcal{W})$ .

Using properties of the semigroup associated to the linear problem, we can obtain the our main local well-posedness theorem.

**Theorem 2.2.** *Let  $s > 2$ . Then for any initial data  $u_0 \in H^s$ , there exist  $T_{\alpha,\beta}^s = T(\alpha, \beta, \|u_0\|_{H^s})$  and a unique solution of the initial value problem (1.3),  $u_{\alpha,\beta}(\cdot)$ , defined in the interval  $[0, T_{\alpha,\beta}^s]$  satisfying  $u_{\alpha,\beta} \in C([0, T_{\alpha,\beta}^s]; H^s) \cap C^1([0, T_{\alpha,\beta}^s]; H^{s-2})$ . Moreover,*

$$u_{\alpha,\beta} \in C((0, T_{\alpha,\beta}^s]; H^\infty).$$

Furthermore, the theorem is true for  $\beta = 0$  (in the weak topology sense) and  $\alpha = \beta = 0$ .

To prove Theorem 2.2, we use the following estimates. The semi-group associated with (1.1) is denoted by  $U_{\alpha,\beta}$ .

**Lemma 2.3.** *Let  $\alpha, \beta > 0$  and  $s \in \mathbb{R}$ , then for any  $\delta \geq 0$  and all  $t > 0$ ,  $U_{\alpha,\beta}(t) \in \mathcal{L}(H^s, H^{s+\delta})$ . Moreover there exists  $C_s > 0$  such that*

$$\|u_{\alpha,\beta}(t)\|_{H^{s+\delta}} \leq C_s \sqrt{1 + t^{-s} \max\{\alpha^{-s}, \beta^{-s}\}} \|u_0\|_{H^s}, \quad (2.1)$$

for any  $u_0 \in H^s$ .

**Lemma 2.4.** *Let  $U_{\alpha,\beta}^0(t) = U_{\alpha,\beta}(t)\delta_0$ ,  $m = (m_1, m_2)$ ,  $k = (k_1, k_2) \in (\mathbb{Z}^+)^2$ ,  $x \in \mathbb{R}^2$  and  $t > 0$ , where  $\delta_0$  is Dirac delta.*

i) *If  $2 \leq p \leq \infty$ , then there exists  $C(\alpha, \beta) > 0$  such that*

$$\|x^k D^m U_{\alpha,\beta}^0(t)\|_{L^p} \leq C(\alpha, \beta) \langle t \rangle^{\frac{1}{2}|k|} t^{-\frac{1}{2}|m| - 2(1 - \frac{1}{p})}. \quad (2.2)$$

ii) *If  $1 \leq p \leq 2$ , then there exists  $C(\alpha, \beta) > 0$  such that*

$$\|x^k D^m U_{\alpha,\beta}^0(t)\|_{L^p} \leq C(\alpha, \beta) \langle t \rangle^{\frac{1}{2}(|k|-1)} t^{-2(1 - \frac{1}{p}) - \frac{|m|}{2}}, \quad (2.3)$$

where  $|k| = k_1 + k_2$ ,  $|m| = m_1 + m_2$  and  $\langle t \rangle = (1 + t^2)^{1/2}$ .

iii)  $u_{\alpha,\beta}(t) \in L^p$  for any  $2 \leq p \leq \infty$ , if  $u_0 \in L^2$ . Moreover,

$$\|u_{\alpha,\beta}(t)\|_{L^p} \lesssim t^{-\theta} \|u_0\|_{L^p},$$

where  $\theta = \theta(p) = 1 - \frac{2}{p}$ .

Now, we use the properties of the Kato-Ponce commutator [2]. Let  $J^s$  be the Bessel potential of order  $-s$  and  $\mathcal{S}(\mathbb{R}^2)$  is the Schwartz class.

**Lemma 2.5.** *If  $f, g \in \mathcal{S}(\mathbb{R}^2)$ ,  $s > 0$  and  $p \in (1, +\infty)$ , then*

$$\|[J^s, M_f]g\|_{L^p} \lesssim (\|\nabla f\|_{L^{p_1}} \|J^{s-1}g\|_{L^{p_2}} + \|J^s f\|_{L^{p_3}} \|g\|_{L^{p_4}}), \quad (2.4)$$

$$\|fg\|_{L^p} \lesssim (\|f\|_{L^{p_1}} \|J^s g\|_{L^{p_2}} + \|J^s f\|_{L^{p_3}} \|g\|_{L^{p_4}}), \quad (2.5)$$

where  $p_2, p_3 \in (1, +\infty)$  such that

$$\frac{1}{p} = \frac{1}{p_1} + \frac{1}{p_2} = \frac{1}{p_3} + \frac{1}{p_4}.$$

**Theorem 2.6 (CONTINUOUS DEPENDENCE).** *There exists a metric space  $E_{\alpha,\beta}^s$  such that for  $R > 0$ , the correspondence  $u_0 \rightarrow u_{\alpha,\beta}$  that associates to  $u_0 \in \mathcal{B}_R$  the solution  $u_{\alpha,\beta}$  of (1.3) with initial data  $u_0$  is continuous mapping of  $\mathcal{B}_R$  to  $E_{\alpha,\beta}^s$ , where  $\mathcal{B}_R$  is the ball of radius  $R$  centered at the origin of  $H^s$ .*

To study the well-posedness in the weighted spaces, we need to understand the behavior of our semigroup in such spaces.

*Remark 2.7.* One can obtain the explicit form of  $\mathcal{X}(t)$ ,  $T^s$  and  $\mathcal{A}_T$ . Indeed,

$$\mathcal{X}(t) = \frac{2^{\frac{2}{p}} \|u_0\|_{H^s}^2}{(2 - c_s t p \|u_0\|_{H^s}^p)^{\frac{2}{p}}},$$

$$T^s = \frac{2}{(c_s p \|u_0\|_{H^s}^2)} \text{ and } \mathcal{A}_T = \frac{2^{\frac{1}{p}} \|u_0\|_{H^s}}{(2 - c_s p T \|u_0\|_{H^s}^p)^{\frac{1}{p}}}, \text{ for any } T \in (0, T^s).$$

**Lemma 2.8.** *Let  $p, m \in \mathbb{N}$ ,  $\beta > 0$ ,  $t > 0$  and  $\omega = (\omega_1, \omega_2) \in \mathbb{R}^2$ . Then there exists  $C(m, \beta, |\omega|) > 0$  such that for any  $f \in \mathcal{F}_{0,m}^{0,p}$ ,*

$$\|D^\omega U_{\alpha,\beta}(t)f\|_{\mathcal{F}_{0,m}^{0,p}} \leq C(m, \beta, |\omega|) \left(1 + t^{-\frac{|\omega|}{2}} + t^{\frac{m-|\omega|}{2}}\right) \|f\|_{\mathcal{F}_{0,m}^{0,p}}$$

**Theorem 2.9** (WELL-POSEDNESS RESULT IN WEIGHTED SPACES). *Let  $\mathcal{W}$  be a weight with all its first and second derivatives bounded and such that  $|\mathcal{W}(x, y)| \leq C_\varepsilon e^{\varepsilon(x^2+y^2)}$ , for all  $(x, y) \in \mathbb{R}^2$  and any  $\varepsilon \in (0, \tilde{\varepsilon})$ , for some  $\tilde{\varepsilon} > 0$  and  $C_\varepsilon > 0$ . Let also  $u_0 \in H^s(\mathcal{W})$ ,  $s > 2$ . Then the solution  $u_{\alpha,\beta}$  of the equation (1.3) corresponding to the initial data  $u_0$  is in  $C([0, T_{\alpha,\beta}^s]; H^s(\mathcal{W}))$ . Moreover, the continuous dependence of solutions of the equation (1.1) holds in  $H^s(\mathcal{W})$ .*

**Theorem 2.10** (PERSISTENCE OF SOLUTIONS). *Let  $s \in \mathbb{N}$ ,  $s \geq 3$  and  $\beta \geq 0$ . Also suppose that  $u_{\alpha,\beta} \in C([0, T_{\alpha,\beta}^s]; H^s)$  is the maximal solution of the rZKB equation corresponding to the initial data  $u_0 \in \mathcal{F}_{1,s}^{s,2}$ . Then  $u_{\alpha,\beta} \in C([0, T_{\alpha,\beta}^s]; \mathcal{F}_{1,s}^{s,2})$ .*

Next, we show that the Picard iteration method cannot be used to obtain a solution of (1.1). Indeed, we construct a sequence of initial data that will ensure the irregularity of the flow map for  $s < -3/4$ .

**Theorem 2.11** (ILL-POSEDNESS RESULT). *Let  $s < -\frac{3}{4}$  and  $H^{s,0}(\mathbb{R}^2)$  be the  $x$ -directional Sobolev space. Then there is no  $T > 0$  such that the ZKB equation (1.1), with  $f(u) = u^2/2$ , admits a unique solution  $u$  in  $C([0, T]; H^{s,0}(\mathbb{R}^2))$  for any initial data in the same ball of  $H^{s,0}(\mathbb{R}^2)$  centered at the origin and the map  $\phi \rightarrow u$  is  $C^2$ -differentiable at the origin from  $H^{s,0}(\mathbb{R}^2)$  to  $C([0, T]; H^{s,0}(\mathbb{R}^2))$ .*

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